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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/535,487	05/17/2005	Gerard Vincent Monaghan	RR-584 PCT/US	3934
20427	7590	12/24/2008	EXAMINER	
RODMAN RODMAN 10 STEWART PLACE SUITE 2CE WHITE PLAINS, NY 10603			BOYER, RANDY	
			ART UNIT	PAPER NUMBER
			1797	
			MAIL DATE	DELIVERY MODE
			12/24/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/535,487	Applicant(s) MONAGHAN ET AL.	
	Examiner RANDY BOYER	Art Unit 1797	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 October 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 2-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 2-21, 23, 25 and 26 is/are rejected.
- 7) ☒ Claim(s) 24 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>See Continuation Sheet</u> . | 6) <input type="checkbox"/> Other: _____ |

Continuation of Attachment(s) 3). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :
10 October 2008 and 18 December 2008.

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office Action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10 October 2008 has been entered.

Response to Amendment

2. Examiner acknowledges Applicant's response filed 10 October 2008 containing amendments to the claims, remarks, and Information Disclosure Statement.
3. Claims 2-26 are pending. Claim 26 is newly added.
4. The previous rejections of claims 2-25 under 35 U.S.C. 102(b) are withdrawn in view of Applicant's amendment to the claims.
5. New grounds for rejection of claims 2-23 and 25, necessitated by Applicant's amendment to the claims, are entered under 35 U.S.C. 103(a). Likewise, newly added claim 26 is rejected under 35 U.S.C. 103(a). The rejections follow.

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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9. Claims 2-23, 25, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jewell (US 2,717,867).

10. With respect to claim 26, Jewell discloses a process for converting a liquid feed material into a vapor phase product comprising: (a) providing a fluid bed (21) comprising solid particles and a fluidizing medium (supplied via aeration supply lines (22, 23)), wherein the fluidizing medium is moving in a substantially vertical fluidizing direction (see Jewell, Fig. 1 and Fig. 2) and wherein the solid particles are at a conversion temperature which is suitable for facilitating the conversion of the liquid feed material to the vapor phase product (see Jewell, column 2, lines 45-51; and column 3, lines 35-41 and 58-63); (b) moving the solid particles in a substantially horizontal solid transport direction from an upstream horizontal position to a downstream horizontal position (see Jewell, Fig. 1 and Fig. 2; and column 5, lines 43-53); (c) introducing the liquid feed material (supplied via process line (17)) to the fluid bed (21) at a feed zone located between the upstream horizontal position and the downstream horizontal position in order to facilitate the conversion of the liquid feed material into the vapor phase product (see Jewell, Fig. 1 and Fig. 2); (d) maintaining the solid particles as fluidized solid particles in the feed zone by introducing the fluidizing medium to the fluid bed in the feed zone (see Jewell, column 3, lines 11-21); and (e) collecting the vapor phase product (via product outlet lines (49)).

Jewell does not disclose “introducing the liquid feed material *directly* to the fluid bed . . . at a feed zone located between the upstream horizontal position and the downstream horizontal position.”

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However, Jewell discloses wherein the liquid feed material (17) is introduced into an aerated mass of solid particles (introduced via branch lines (28)) (see Jewell, column 3, lines 68-70), separately from the solid particles (28) and separately from the fluidizing medium (29, 22) (see Jewell, Fig. 2 and accompanying text). Jewell also discloses wherein the liquid feed material (17) is introduced at a feed zone location that is located between the foremost upstream end of the fluidized bed and the foremost downstream end of the fluidized bed (see Jewell, Fig. 2).

Therefore, Examiner finds Applicant's limitation specifying introduction of the liquid feed material "directly" to the fluidized bed to be of no patentable consequence because Jewell does explicitly disclose introducing the liquid feed material "directly" into an aerated mass of solid particles. Examiner notes that a fluidized bed is no more than a fluidized (or aerated) mass of solid particles. Thus, Examiner is unable to discern any patentable distinction over Jewell with respect to Applicant's recitation for "direct" introduction of the liquid feed material into the "fluidized bed."

Finally, Examiner finds Applicant's limitation specifying introduction of the liquid feed material at a (separate) location between an upstream horizontal position and a downstream horizontal position to be of no patentable consequence because the mere rearrangement of parts of a prior art device generally cannot serve as the basis for establishing patentability in the absence of new or unexpected results (see MPEP § 2144.04(VI)(C)). In this regard, Examiner notes that Jewell discloses wherein the liquid feed material (17) is introduced at a feed zone location that is located between the

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foremost upstream end of the fluidized bed and the foremost downstream end of the fluidized bed (see Jewell, Fig. 2).

11. With respect to claim 2, Jewell discloses wherein the solid particles are collected (in passageway (32)).

12. With respect to claim 3, Jewell discloses wherein the step of providing the fluid bed comprises introducing the solid particles at the upstream horizontal position and wherein the step of collecting the solid particles comprises collecting the solid particles at the downstream horizontal position (see Jewell, Fig. 1 and Fig. 2).

13. With respect to claim 4, Jewell discloses a step of regenerating the solid particles for re-use after collecting the solid particles (see Jewell, column 6, lines 68-75; column 7, lines 1-4; and Fig. 1).

14. With respect to claims 5 and 6, Jewell discloses wherein the step of regenerating the solid particles is comprised of heating the solid particles to the conversion temperature (see Jewell, column 7, lines 5-34; and Example).

15. With respect to claims 7 and 8, Jewell discloses wherein the upstream horizontal position is at a higher elevation than the downstream horizontal position so that the solid particles move in the solid transport direction from the upstream horizontal position to the downstream horizontal position under the influence of gravity (see Jewell, Fig. 1 and Fig. 2).

16. With respect to claim 9, Jewell discloses wherein the step of providing the fluid bed is comprised of introducing the fluidizing medium at a lower vertical position below

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the solid particles so that the fluidizing direction is substantially upward (see Jewell, Fig. 1 and Fig. 2).

17. With respect to claims 10-14, Jewell discloses wherein the step of introducing the liquid feed material to the fluid bed at the feed zone is comprised of spraying the liquid feed material so that the liquid feed material contacts the solid particles as droplets; wherein the liquid feed is sprayed within the fluid bed so that the droplets penetrate the fluid bed; wherein the liquid feed material is sprayed so that the droplets contact the solid particles from a spraying direction which is substantially perpendicular to the solid transport direction; wherein the spraying direction is a substantially vertical direction; and wherein the spraying direction is substantially opposite to the fluidizing direction (see Jewell, column 3, line 75; column 4, lines 1-47; and Fig. 1 and Fig. 2).

18. With respect to claim 15, Jewell discloses a step of quenching the vapor phase product after collecting the vapor phase product in order to minimize further conversion of the vapor phase product (see Jewell, column 9, lines 17-29).

19. With respect to claims 16 and 17, Jewell discloses collecting the fluidizing medium with the vapor phase product at an upper vertical position (e.g., through outlet lines (49)) above the solid particles (see Jewell, Fig. 2 and accompanying text); and separating the fluidizing medium and the vapor phase product after collecting the fluidizing medium and the vapor phase product (see Jewell, column 9, lines 50-53).

20. With respect to claim 18, Jewell discloses wherein the residence time of the solid particles in the horizontally elongated drum (19) can be varied by varying the rate at

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which the solid particles are discharged into the drum and by varying the quantity of solid particles in the drum (see Jewell, column 5, lines 43-67).

21. With respect to claims 19-21, Jewell discloses wherein the liquid feed material is comprised of liquid hydrocarbon; heavy hydrocarbon; or heavy oil or a heavy fraction of a crude oil (see Jewell, column 1, lines 15-30).

22. With respect to claim 22, the hot coke particles of Jewell act as a catalyst in the coking reaction and conversion of the liquid feed material into vapor phase product (see Jewell, column 1, lines 46-49; and column 5, lines 14-24 and 36-40).

23. With respect to claim 23, Jewell discloses wherein the step of collecting the vapor phase product is comprised of collecting the vapor phase product at a plurality of vapor phase product collection locations (49) spaced horizontally between the upstream horizontal position and the downstream horizontal position (see Jewell, Fig. 2 with accompanying text).

24. With respect to claim 25, Jewell discloses a step of collecting a vaporized fraction of the liquid fraction of the liquid feed material at a vapor phase product collection location which is adjacent to the feed zone (in vapor product outlet lines (49)) (see Jewell, Fig. 1 and Fig. 2).

Allowable Subject Matter

25. Claim 24 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Response to Arguments

26. Applicant's arguments filed 10 October 2008 have been fully considered but they are not persuasive.

27. Examiner understands Applicant's principal arguments to be:

- I. Jewell does not disclose or suggest wherein the liquid feed material is introduced "directly" to the fluid bed and "separately from the solid particles."
- II. Jewell does not disclose or suggest introducing the liquid feed material to the fluid bed at a location which is downstream from the location at which the solid particles are introduced to the fluid bed.

28. With respect to Applicant's first and second arguments, see discussion *supra* at paragraph 10.

Conclusion

29. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Randy Boyer whose telephone number is (571) 272-7113. The examiner can normally be reached Monday through Friday from 10:00 A.M. to 7:00 P.M. (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn A. Caldarola, can be reached at (571) 272-1444. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

RPB

/Glenn A Caldarola/

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